

Introductory sub-table (I)(a)

Name of the Company: **Microsec Financial Services Ltd**

Scrip Code, Name of the scrip, class of security: **BSE 533259, NSE MICROSEC, Equity**

Quarter ended: **31-12-2012**

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	31810500		

Statement Showing Shareholding Pattern

Table I(a)

Category code (I)	Category of shareholder(II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C) (VII)	Number of shares	As a percentage (IX) = (VIII/IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	6	1,20,31,700	1,20,31,700	37.823	37.823	-	-
(b)	Central Government/ State	-	-	-	-	-	-	-
(c)	Bodies Corporate	1	20,45,675	20,45,675	6.431	6.431	-	-
(d)	Financial Institutions/ Banks				-	-	-	-
(e)	Any Other (specify) Person acting in concern & Trust (Promoter)	2	62,62,504	62,62,504	19.687	19.687	-	-
	Sub-Total (A)(1)	9	2,03,39,879	2,03,39,879	63.941	63.941	-	-
2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualifield Foreign Investors							
(e)	Any Other (specify)							
	Sub-Total (A)(2)	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	9	2,03,39,879	2,03,39,879	63.941	63.941	-	-
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	-	-	-	-	-	-	-
(b)	Financial Institutions/ Banks	1	16,515	16,515	0.052	0.052	-	-
(c)	Central Government/ State	-	-	-	-	-	-	-
(d)	Insurance Companies	-	-	-	-	-	-	-
(e)	FIs	2	16,04,205	16,04,205	5.043	5.043	-	-
(f)	Foreign Venture Capital							
(g)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	3	16,20,720	16,20,720	5.095	5.095	-	-
2	Non-institutions							
(a)	Bodies Corporate	335	18,15,178	18,15,178	5.706	5.706	-	-
(b)	Individuals							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	22,254	34,71,540	34,71,524	10.913	10.913	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	45	25,27,142	25,27,142	7.944	7.944	-	-
(c)	Any Other (Specify) 1.Directors	-	-	-	-	-	-	-
	2. Relatives	-	-	-	-	-	-	-
	3.Clearing Member	85	77,623	77,623	0.244	0.244	-	-
	4.Trust	8	18,60,474	77,074	5.849	5.849	-	-
	5 Office Bearers	-	-	-	-	-	-	-
	6. NRIs	68	75,733	75,733	0.238	0.238	-	-
	7. NRN	28	22,211	22,211	0.070	0.070	-	-
	8. Foreign National	-	-	-	-	-	-	-
	9. Foreign Company							
	Sub-Total (B)(2)	22,823	98,49,901	80,66,485	30.964	30.964	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	22,826	1,14,70,621	96,87,205	36.059	36.059	NA	NA
	TOTAL (A)+(B)	22,835	3,18,10,500	3,00,27,084	100.000	100.000	0.00	0.00

(C)	Shares held by Custodians and against which Depository Receipts have been issued					-	NA	NA
	1 Promoter & Promoter Group				-	-		
	2 Public				-	-		
	GRAND TOTAL (A)+(B)+(C)	22,835	3,18,10,500	3,00,27,084	100.000	100.000	0.00	0.00

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +(C)	No.	As a percentage	% of grand total (A)+(B)+ (C) of sub-clause	Number of warrants held	As a % total number of warrants of the same	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	BANWARI LAL MITTAL	10816000	34.001		-	-					
2	Topview Enclaves LLP	5021504	15.786								
3	Luv Kush Projects Limited	2045675	6.431								
4	Ravi Kant Sharma JTLY WITH Luv Kush Projecrts	1241000	3.901								
5	RAVI KANT SHARMA	876800	2.756								
6	Bharati Sharma	323200	1.016								
7	ABHA MITTAL	15700	0.049								
TOTAL		20339879	63.941	0	0.00	0.000					

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	MICROSEC VISION EMPLOYEE TRUST	1783400	5.606					
2	BNP PARIBAS ARBITRAGE	1460000	4.590					
	TOTAL	3243400	10.196					

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	MICROSEC VISION EMPLOYEE TRUST	1783400	5.606					
TOTAL		1783400	5.606	0		0		0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	BANWARI LAL MITTAL	6362100	20.000
TOTAL		6362100	20.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.			
2				
3				
TOTAL		0	0	

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.			
2				
3				
TOTAL			0	