

Microsec Financial Services Limited

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CIN - L65993WB1989PLC047002



Date: 18/10/2016

The General Manager,
Department of Corporate Services,
BSE Ltd. (Designated Stock Exchange)
Phiroze Jeejeebhoy Tower,
Dalal Street, Mumbai- 400 001

The Manager,
Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex
Mumbai – 400 051

Sub: Shareholding Pattern under Regulation 31 of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30th September, 2016

Ref: Scrip Code: 533259 Symbol: MICROSEC

Dear Sir/ Madam,

Pursuant to Regulation 31 of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 enclosed please find the Shareholding Pattern of Microsec Financial Services Limited for the Quarter ended 30th September, 2016 for your records and reference.

Kindly take note of the above and acknowledge the receipt.

Thanking you,
Yours faithfully,

For Microsec Financial Services Limited

Biplab Kumar Mani
Company Secretary & Compliance Officer

Encl: Shareholding Pattern for the Quarter ended 30th September, 2016

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Microsec Financial Services Limited
2.	Scrip Code/Name of Scrip/Class of Security: 533259(BSE) MICROSEC(NSE)
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September 2016
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes *	No *
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Microsec Financial Services Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
								Class eg: X	Class	Total			Total as a % of (A+B+C)	(a)	(b)	(a)	
(I)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)	
(A)	Promoter & Promoter Group	8	22892647	0	0	22892647	71.9657	0	0	0	0	0	0	0	0	0	22892647
(B)	Public	16300	8917853	0	0	8917853	28.0343	0	0	0	0	0	0	0	0	0	7134305
(C)	Non Promoter - Non Public																
(C1)	Shares Underlying DRS	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
	Total	16308	31810500	0	0	31810500	100.0000	0	0	0	0	0	0	0	0	0	30026952



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

1	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)=(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of dematerialised shares held in	
									No of Voting Rights	Total as a % of (A+B+C)	Outstanding convertible securities (including Warrants)						
(a)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	Class eg: X	Class eg: Y	Total	(ix)	(x) = (vii)+(x)	(xi)	(xii)	(xiii)	(xiv)
	Indian																
	(a) Individuals / Hindu Undivided Family		5	13319793	0	0	13319793	41.8723	13319793	0	13319793	41.8723	0	0.0000	0	0.0000	13319793
	Banwari Lal Mittal	ADMPM0065G	1	10816000	0	0	10816000	34.0014	10816000	0	10816000	34.0014	0	0.0000	0	0.0000	10816000
	Ravi Kant Sharma jointly with Luv Kush Projects Ltd as Trustees of Microsec Vision Trust One	AACTM0155C	1	1241000	0	0	1241000	3.9012	1241000	0	1241000	3.9012	0	0.0000	0	0.0000	1241000
	Ravi Kant Sharma	AIWPS1830J	1	923893	0	0	923893	2.9044	923893	0	923893	2.9044	0	0.0000	0	0.0000	923893
	Bharati Sharma	ATLPS8409H	1	323200	0	0	323200	1.0160	323200	0	323200	1.0160	0	0.0000	0	0.0000	323200
	Abha Mittal	ADKPM9760H	1	15700	0	0	15700	0.0494	15700	0	15700	0.0494	0	0.0000	0	0.0000	15700
	(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	(d) Any Other (Specify)		3	9572854	0	0	9572854	30.0934	9572854	0	9572854	30.0934	0	0.0000	0	0.0000	9572854
	Bodhis Corporate		3	9572854	0	0	9572854	30.0934	9572854	0	9572854	30.0934	0	0.0000	0	0.0000	9572854
	Topview Enclaves LLP	AAGFT2424H	1	7527179	0	0	7527179	23.6626	7527179	0	7527179	23.6626	0	0.0000	0	0.0000	7527179
	Luv Kush Projects Limited	AAACJ3631M	1	1245675	0	0	1245675	3.9159	1245675	0	1245675	3.9159	0	0.0000	0	0.0000	1245675
	Longrange Management Services Private Limited	AABCL5819Q	1	800000	0	0	800000	2.5149	800000	0	800000	2.5149	0	0.0000	0	0.0000	800000
	Sub Total (A)(1)		8	22892647	0	0	22892647	71.9657	22892647	0	22892647	71.9657	0	0.0000	0	0.0000	22892647
2	Foreign																
	(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	(e) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		8	22892647	0	0	22892647	71.9657	22892647	0	22892647	71.9657	0	0.0000	0	0.0000	22892647

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depository Shares underlying Depository Receipts	Total nos. shares held (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of shares	Number of Shares pledged or otherwise encumbered	Number of Shares held in dematerialised form
								Class eg: X	Class eg: Y	Total					
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)
								Total as a % of (A+B+C)					As a % of total Shares held (b)	As a % of total Shares held (b)	
1 Institutions															
(a) Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(e) Foreign Portfolio Investor		1	97110	0	0	97110	0.3053	97110	0	97110	0	0.3053	0	0	97110
(f) Financial Institutions / Banks		1	16515	0	0	16515	0.0519	16515	0	16515	0	0.0519	0	0	16515
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
Sub Total (B)(1)		2	113625	0	0	113625	0.3572	113625	0	113625	0	0.3572	0	0	113625
2 Central Government/ State Government(s)/ President of India															
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
3 Non-institutions															
(a) Individuals			0	0											
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		14624	2990765	0	0	2990765	9.4018	2990765	0	2990765	0	9.4018	0	0	2990617
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		16	1084947	0	0	1084947	3.4107	1084947	0	1084947	0	3.4107	0	0	1084947
Sustil Lahori	ABCP11563C	0	322000	0	0	322000	1.0122	322000	0	322000	0	1.0122	0	0	322000
(b) NBFIs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(d) Overseas Depositors(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0
(e) Any Other (Specify)		1658	4728516	0	0	4728516	14.8646	4728516	0	4728516	0	14.8646	0	0	2945116
Trusts		5	1783716	0	0	1783716	5.6073	1783716	0	1783716	0	5.6073	0	0	316
Microsec Vision Employee Trust	AACTM0154D		1783400	0	0	1783400	5.6063	1783400	0	1783400	0	5.6063	0	0	298593
Hindu Undivided Family (Non Repeat)		1197	298593	0	0	298593	0.9387	298593	0	298593	0	0.9387	0	0	298593
Non Resident Indians (Non Repeat)		27	11310	0	0	11310	0.0356	11310	0	11310	0	0.0356	0	0	11310
Non Resident Indians (Repeat)		83	54815	0	0	54815	0.1723	54815	0	54815	0	0.1723	0	0	54815
Clearing Member		130	211225	0	0	211225	0.6640	211225	0	211225	0	0.6640	0	0	211225
Bodhis Corporate		216	2368857	0	0	2368857	7.4468	2368857	0	2368857	0	7.4468	0	0	2368857
Opopa Trading Private Limited	AAACQ2461L		390000	0	0	390000	1.2260	390000	0	390000	0	1.2260	0	0	390000
Sub Total (B)(3)		16298	8804228	0	0	8804228	27.6771	8804228	0	8804228	0	27.6771	0	0	7020680
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		16300	8917853	0	0	8917853	28.0343	8917853	0	8917853	0	28.0343	0	0	7134305

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of Shareholders	No. of Shares
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of dematerialised equity shares held in form					
								Class eg: X	Class eg: Y	Total				(a) total Shares held(b)	(a) total Shares held(b)	(a) total Shares held(b)							
1	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	0	0	0	(ix)	0.0000	0	0.0000	0	0.0000	0	(xiii)	0.0000	(xiv)	0	
Custodian/DR Holder																							
2																							
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations 2014)																							
Total Non-Promoter - Non Public Shareholding (C)= (C1)+(C2)																							

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

